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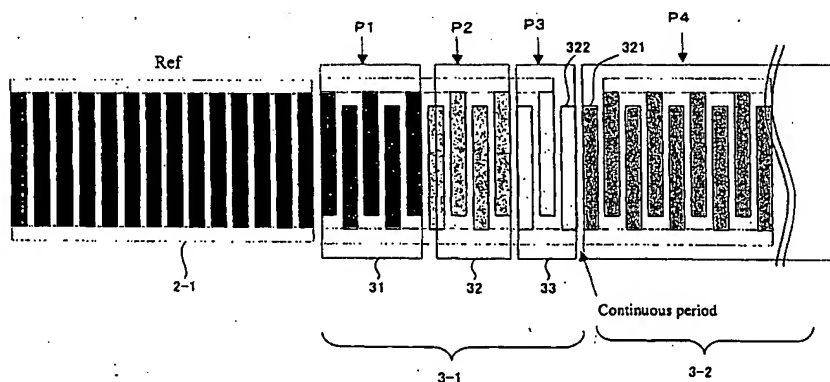
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(54) **SURFACE ACOUSTIC WAVE FILTER**

(57) A surface acoustic wave filter capable of obtaining a low insertion loss and wide bandwidth characteristic is provided. The surface acoustic wave filter includes a piezoelectric substrate; a pair of reflective electrodes formed on the piezoelectric substrate; and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

**Fig. 6**



## Description

### FIELD OF THE INVENTION

[0001] The present invention relates to a low insertion loss surface acoustic wave (SAW) filter having a wide bandwidth characteristics.

### BACKGROUND ARTS

[0002] In recent years, use of a surface acoustic wave (SAW) filter has been spreading in a cellular phone, etc. for the purpose of suppressing undesired signals in transmission and reception, and the demand for the surface acoustic wave filter is increasing. For example, the surface acoustic wave filter is employed in a radio-frequency (RF) circuit section of the cellular phone. Such a surface acoustic wave filter is desired to have characteristics of low insertion loss and wide bandwidth.

[0003] FIG. 1 shows a plan view of an exemplary structure of a conventional surface acoustic wave filter. In FIG. 1, the surface acoustic wave filter has a pair of reflective electrodes 2-1, 2-2 on a piezoelectric substrate 1 formed of  $\text{LiTaO}_3$ ,  $\text{LiNbO}_3$ , etc.

[0004] Also, a plurality of comb electrodes (three electrodes in the example shown in FIG. 1) 3-1, 3-2, 3-3 are structured between the pair of reflective electrodes 2-1, 2-2 on piezoelectric substrate 1. Each comb electrode 3-1, 3-2, 3-3 is constituted of a plurality of finger electrodes.

[0005] Among the plurality of finger electrodes constituting comb electrodes 3-1, 3-2, 3-3, finger electrodes are alternately grounded in common, and further, rest of the finger electrodes inserted between the above-mentioned grounded finger electrodes are connected in common to either an input end or an output end.

[0006] In the example shown in FIG. 1, alternate finger electrodes provided in the center comb electrode 3-2 are connected in common to an input end IN, while alternate finger electrodes provided in comb electrodes 3-1, 3-3 are connected in common to an output end. Here, each gap between the finger electrodes in comb electrodes 3-1, 3-2, 3-3 (hereafter referred to as electrode pitch) is the same in length.

[0007] Through a passband characteristic of the filter, a signal input to the input end IN is characterized, and then output to the output end OUT. At this time, caused by a surface acoustic wave, there are produced a first standing wave A having a resonant wavelength against the entire filter, and a second standing wave B having a resonant wavelength against each comb electrode 3-1, 3-2, 3-3, with an inverse polarity on comb electrode 3-2. Accordingly, in the example shown in FIG. 1, a multimode filter having two resonant modes is structured.

[0008] The passband characteristic is determined by the resonant characteristic obtained from the first standing wave A and the resonant characteristic obtained from the second standing wave B.

[0009] FIG. 2 shows a surface acoustic wave filter constituted of a plurality of sets of the filter structured of a unit shown in FIG. 1 connected in cascade. By constituting a filter in cascade connection as shown in FIG. 2, it becomes possible to obtain a sharper attenuation characteristic in the frequency band edge portions.

[0010] In FIG. 2, although piezoelectric substrate 1 is not shown, two pairs of reflective electrodes (2-1, 2-2), (2-3, 2-4) are structured on one piezoelectric substrate 1, and three comb electrodes (3-1, 3-2, 3-3), (3-4, 3-5, 3-6) are structured between each pair of the reflective electrodes.

[0011] This structure shown in FIG. 2 also constitutes a multimode filter having a plurality of resonant modes produced by the standing waves.

[0012] FIG. 3 shows an example of passband characteristic in such a multimode filter. In this figure, magnitude of attenuation is on the vertical axis, while frequency is on the horizontal axis. A range between the frequency in the lower zone and the frequency in the higher zone respectively having the magnitude of attenuation of 3 DB below the minimum attenuation value is referred to as a passband width.

[0013] As an ideal filter, it is desired to have a filter having a small minimum attenuation value with a wide passband width.

[0014] Here, as having been illustrated in FIG. 1, in comb electrodes 3-1, 3-2, 3-3, electrode pitches between the finger electrodes are entirely the same in length, and this is also applicable in comb electrodes 3-4, 3-5, 3-6 in the example shown in FIG. 2.

[0015] Further, in order to obtain a wider passband width in the filter characteristic, it has been necessary to set the gaps between the outermost finger electrodes of the respective comb electrodes 3-1 to 3-6, namely the gaps between neighboring comb electrodes, for example, comb electrode 3-1 and comb electrode 3-2, to lengths different from the finger electrode pitch. However, when each gap between the outermost finger electrodes of the comb electrodes is set to a length different from the finger electrode pitch, discontinuity is produced in the phase of a resonant wave at the gap, shown as GAP, between the outermost finger electrodes of the neighboring comb electrodes.

[0016] In FIG. 4, there is shown an enlarged diagram of the left portion, halved from the center of the center comb electrode 3-2 in the structure shown in FIG. 1. The right portion thereof is obtained by folding the structure shown in FIG. 4 to the right, which is omitted from the figure.

[0017] The lengths of the electrode pitches between the finger electrodes in both comb electrode 3-1 and comb electrode 3-2 are uniform. Further, in FIG. 4, a gap shown as GAP is produced between the outermost finger electrode of comb electrode 3-1 and the outermost finger electrode of comb electrode 3-2. When the gap size, GAP, is not equal to the electrode pitch in comb electrode 3-1 and comb electrode 3-2, discontinuity in

phase is produced between the phase of a surface acoustic wave SAW1 on comb electrode 3-1 and the phase of a surface acoustic wave SAW2 on comb electrode 3-2.

[0018] This discontinuity in the phases of the surface acoustic waves is illustrated in FIG. 5, which is observed from the cross sectional direction of piezoelectric substrate 1. As shown in FIG. 5, in the gap shown as GAP, discontinuity is produced between the surface acoustic waves SAW1 and SAW2. This brings about producing a bulk wave radiation 4 passing through piezoelectric substrate 1.

[0019] This bulk wave radiation 4 becomes a major cause of the insertion loss in the surface acoustic wave filter. Therefore, it is necessary to maintain continuity in the phases of the surface acoustic waves.

[0020] As a method for maintaining continuity of the surface acoustic waves, there has been disclosed a technique in German Patent DE 42 12 517. In this technique, electrodes between neighboring comb electrodes are disposed based on a chirp function or a sine wave, and thereby a pseudo repetitive structure is inserted.

[0021] However, in general, insertion loss becomes larger as the gap size, GAP between neighboring comb electrodes is set larger. Therefore, it is not preferable that the gap size, GAP, between the neighboring comb electrodes is set large enough to insert such a pseudo repetitive structure as having been disclosed in the above-mentioned German Patent.

#### DISCLOSURE OF THE INVENTION

[0022] Accordingly, considering the aforementioned problem, it is an object of the present invention to provide a surface acoustic wave filter capable of obtaining a low insertion loss and wide bandwidth characteristic.

[0023] More specifically, it is also an object of the present invention to provide a surface acoustic wave filter, based on a prerequisite of a surface acoustic wave filter with the provision of at least one pair of reflective electrodes on a piezoelectric substrate, and an input comb electrode and an output comb electrode disposed between the reflective electrodes, thereby exciting a surface acoustic wave between the reflective electrodes, so as to obtain the surface acoustic wave filter having reduced insertion loss caused by discontinuity in the phases of the surface acoustic waves between the comb electrodes.

[0024] As a first aspect of the surface acoustic wave filter in accordance with the present invention to achieve the above-mentioned objects, the surface acoustic wave filter includes a piezoelectric substrate, a pair of reflective electrodes formed on the piezoelectric substrate; and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate. In regard to the neighboring two comb electrodes among the plurality of comb electrodes, an

electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

[0025] As a second aspect of the surface acoustic wave filter according to the present invention, the surface acoustic wave filter includes a piezoelectric substrate, and a plurality of unit sets of filters formed on the piezoelectric substrate, in which each set is connected to the other in cascade. Each plurality pair of filters includes a pair of reflective electrodes, and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate. In regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

[0026] As a third aspect of the surface acoustic wave filter according to the present invention, the surface acoustic wave filter includes a piezoelectric substrate, a pair of reflective electrodes formed on the piezoelectric substrate, and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate. In regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of the respective comb electrodes are set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap between outermost finger electrodes of the neighboring two comb electrodes.

[0027] As a fourth aspect of the surface acoustic wave filter according to the present invention, the surface acoustic wave filter includes a piezoelectric substrate, and a plurality of unit sets of filters formed on the piezoelectric substrate, in which each set is connected to the other in cascade. Each plurality pair of filters includes a pair of reflective electrodes, and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate. In regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of the respective comb electrodes are set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap between outermost finger electrodes of the neighboring two comb electrodes.

[0028] As a fifth aspect of the surface acoustic wave filter according to the present invention, in the first or the second aspect, one of the two neighboring comb electrodes is divided into a plurality of blocks, and the electrode pitch is set differently for each divided plurality of blocks.

[0029] As a sixth aspect of the surface acoustic wave filter according to the present invention, in the third or

the fourth aspect, each of the two neighboring comb electrodes is divided into a plurality of blocks, and the electrode pitch is set differently for each divided plurality of blocks.

[0030] As a seventh aspect of the surface acoustic wave filter according to the present invention, in the first or the second aspect, different electrode pitches are set successively in one of the neighboring two comb electrodes.

[0031] As an eighth aspect of the surface acoustic wave filter according to the present invention, in the third or the fourth aspect, different electrode pitches are set successively in each of the neighboring two comb electrodes.

[0032] As a ninth aspect of the surface acoustic wave filter according to the present invention, in the first or the third aspect, the neighboring two comb electrodes are a comb electrode for input and a comb electrode for output, respectively.

[0033] As a tenth aspect of the surface acoustic wave filter according to the present invention, in the second or the fourth aspect, among the plurality of sets of filters connected in cascade, one of the neighboring two comb electrodes disposed in the first stage of the filter set is a comb electrode for input, and one of the neighboring two comb electrodes disposed in the final stage of the filter set is a comb electrode for output.

[0034] As an eleventh aspect of the surface acoustic wave filter according to the present invention, the surface acoustic wave filter includes a piezoelectric substrate, a surface acoustic wave resonator formed on the piezoelectric substrate, and a multimode filter which is formed on the piezoelectric substrate and connected in series with the surface acoustic wave resonator. The multimode filter includes a pair of reflective electrodes, and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate. In regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

[0035] As a twelfth aspect of the surface acoustic wave filter according to the present invention, the surface acoustic wave filter includes a piezoelectric substrate, a surface acoustic wave resonator formed on the piezoelectric substrate, and a first multimode filter and a second multimode filter each formed on the piezoelectric substrate and connected in series with the surface acoustic wave resonator. Each multimode filter includes a pair of reflective electrodes, and a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate. In regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of

surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

[0036] As a thirteenth aspect of the surface acoustic wave filter according to the present invention, in the eleventh or the twelfth aspect, a second surface acoustic wave resonator is additionally connected in parallel with the surface acoustic wave resonator.

[0037] As a fourteenth aspect of the surface acoustic wave filter according to the present invention, in the twelfth aspect, the surface acoustic wave resonator is connected to an unbalanced input end or an unbalanced output end, and terminals in the first multimode filter and the second multimode filter are connected to corresponding balanced output ends or balanced input ends.

[0038] Further scopes and features of the present invention will become more apparent by the following description of the embodiments with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

### [0039]

FIG. 1 shows a plan view of an exemplary structure of the conventional surface acoustic wave filter.

FIG. 2 shows a surface acoustic wave filter structured of the filters having the structure shown in FIG. 1 connected in cascade.

FIG. 3 shows a diagram illustrating an example of passband characteristic obtained in a multimode filter.

FIG. 4 shows a diagram illustrating the left portion of the center comb electrode 3-2 halved at the center of the structure of the comb electrode shown in FIG. 1.

FIG. 5 shows a diagram illustrating discontinuity of the surface acoustic wave phases observed from the cross-sectional direction of piezoelectric substrate 1.

FIG. 6 shows a first embodiment of the present invention, illustrating only the electrode structure without illustrating piezoelectric substrate 1, similarly to FIG. 4.

FIG. 7 shows diagrams thereby illustrating that a continuous surface acoustic wave phase is obtained in a gap to an outermost finger electrode 322 disposed in comb electrode 3-1, in the embodiment shown in FIG. 6.

FIG. 8 shows a second embodiment of the present invention.

FIG. 9 shows still another embodiment of the present invention.

FIG. 10 shows a diagram illustrating a measured passband characteristic of an embodiment having two-stage cascade connection in the embodiment shown in FIG. 9.

FIG. 11 shows an exemplary structure of the surface acoustic wave filter, in which a surface acoustic wave (SAW) resonator is connected in series with a multimode filter.

FIG. 12 shows an exemplary structure in which another multimode filter 21 is connected in parallel with multimode filter 20, in contrast to the filter structure shown in FIG. 11.

FIG. 13 shows a characteristic diagram of the surface acoustic wave filter in which the present invention is applied to each comb electrode of multimode filters 20, 21, in the structure shown in FIG. 12 (A).

FIG. 14 shows an enlarged diagram illustrating a passband region in the characteristic diagram shown in FIG. 13.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

**[0040]** FIG. 6 shows a first embodiment of the present invention illustrating a plan view of an electrode structure only, omitting piezoelectric substrate 1 in the same way as FIG. 4. The way of above illustration is applied in the following description of other embodiments. Further, in FIG. 6, there is shown an enlarged view of the left portion halved at the center of the center comb electrode 3-2, in the same way as shown in FIG. 4.

**[0041]** In addition, as an embodiment, a leaky surface acoustic wave is used in the surface acoustic wave filter shown in FIG. 6.

**[0042]** A feature of the embodiment shown in FIG. 6 is that comb electrode 3-1 neighboring comb electrode 3-2 (as for the structure of the right half portion, the above comb electrode 3-1 is to be replaced by comb electrode 3-3) is divided into a plurality of blocks. In the example shown in FIG. 6, comb electrode 3-1 is divided into three blocks 31, 32 and 33.

**[0043]** Further, the three blocks 31, 32, 33 are structured with electrode pitches  $P_1$ ,  $P_2$ ,  $P_3$ , respectively, which are different step-by-step. With this structure, it becomes possible to obtain a continuous phase of the surface acoustic wave in the gap between the outermost finger electrode 321 of comb electrode 3-2 and the outermost finger electrode 322 of comb electrode 3-1.

**[0044]** The above-mentioned aspect is shown in FIG. 7. FIG. 7 (A) is a diagram in which FIG. 5 is repeatedly shown. Discontinuity in phase is being produced between the surface acoustic wave SAW1 on comb electrode 3-1 and the surface acoustic wave SAW2 on comb electrode 3-2. FIG. 7 (B) is a diagram according to the embodiment of the present invention, in which the gap opposite to an outermost finger electrode of comb electrode 3-2 is adjusted by the electrode pitch of block 33, that is, one of the plurality of divided blocks in the comb electrode 3-1.

**[0045]** With the above-mentioned method, it becomes possible to obtain a continuous phase of the surface acoustic waves between the surface acoustic wave

SAW1 in block 33 of comb electrode 3-1 and the surface acoustic wave SAW2 on comb electrode 3-2, and thereby bulk wave radiation can be prevented from passing through the piezoelectric substrate.

**[0046]** FIG. 8 shows a second embodiment of the present invention. In this embodiment, comb electrode 3-1 is so structured as to have different pitches step-by-step, enabling to obtain a continuous wave phase between the finger electrodes in the ends of comb electrodes 3-1, 3-2.

**[0047]** In particular, in FIG. 8, the electrode pitches are adjusted in the both direction from the center of comb electrode 3-1, so as to satisfy relations of, for example,  $P_4 < P_3 < P_2 < P_1 = P_1' > P_2' > P_3' > P_4'$ , thereby enabling a continuous surface acoustic wave phase between comb electrode 3-2 and reflective electrode 2-1.

**[0048]** FIG. 9 shows still another embodiment of the present invention. In the foregoing embodiments, the electrode pitches have been adjusted in comb electrode 3-1, as well as in comb electrode 3-3 in the non-illustrated right portion, to obtain a continuous surface acoustic wave phase between comb electrode 3-1 (3-3) and the neighboring center comb electrode 3-2.

**[0049]** In contrast, in the embodiment shown in FIG. 9, the electrode pitches in comb electrode 3-2 are also adjusted. This enables easy adjustment of the electrode pitch, as compared to the method of adjusting the pitch in comb electrode 3-1 (3-3) only.

**[0050]** According to this embodiment, comb electrode 3-1 is divided into three blocks 31, 32, and 33, having electrode pitches  $P_1$ ,  $P_2$  and  $P_3$ , respectively. Meanwhile, comb electrode 3-2 is divided into three blocks 21, 22 and 23 (here, block 23 is a block in the non-illustrated right portion of comb electrode 3-2, correspondingly to block 21). The electrode pitches of the three blocks 21, 22, 23 in comb electrode 3-2 are  $P_4$ ,  $P_5$ ,  $P_6$ , respectively. Here, block 23 and the right half of block 22 in comb electrode 3-2 are not shown in FIG. 9.

**[0051]** As an embodiment example, the ratios of these electrode pitches  $P_1$ ,  $P_2$ ,  $P_3$ ,  $P_4$ ,  $P_5$  are set to satisfy the following relations, assuming the electrode pitch  $P_5$  of the center block 22 in comb electrode 3-2 has a reference value '1'.

$$P_1 = 0.9976$$

$$P_2 = 0.9669$$

$$P_3 = 0.8986$$

$$P_4 = 0.9375$$

$$P_5 = 1$$

[0052] FIG. 10 shows a diagram illustrating a passband characteristic of the embodiment shown in FIG. 9 measured against a surface acoustic wave filter which has the electrode pitches P1, P2, P3, P4, P5 set under the relations described above, using the electrode pitch P5 as a reference, and is structured of two stages of cascade connection, as shown in FIG. 2.

[0053] In FIG. 10, a characteristic A is a passband characteristic of the surface acoustic wave filter according to the present invention, while a characteristic B is a passband characteristic of the conventional surface acoustic wave filter without adjustment of the electrode pitches.

[0054] As a comparison result using FIG. 10, in the characteristic A of the surface acoustic wave filter according to the present invention, an improvement of approximately 1 dB (ML) has been obtained in the insertion loss, as compared to the characteristic B of the conventional surface acoustic wave filter without adjusted electrode pitches. Further, it is to be understood that the passband width I of the surface acoustic wave filter according to the present invention has a wider bandwidth than the passband width II of the conventional surface acoustic wave filter.

[0055] Here, in each above-mentioned embodiment of the present invention, a case of three comb electrodes has been shown for a plurality of comb electrodes. However, when applying the present invention, it is not limited to the above-mentioned case. The present invention may be applicable to a surface acoustic wave filter structured of one comb electrode for input and one comb electrode for output, or a surface acoustic wave filter having more than three comb electrodes.

[0056] Also, in the above-mentioned embodiments of the present invention, the filter having the structure shown in FIG. 1 as a filter unit has been shown. However, the present invention may also be applicable to a surface acoustic wave filter structured of two stages, as shown in FIG. 2, or multi-stages more than two stages of a plurality of sets of the filter unit connected in cascade, in which the electrode pitches of the comb filters are adjusted on a filter unit basis.

[0057] Moreover, in the embodiments of the present invention shown in FIGS. 6 and 8, the electrode pitches of the center comb electrode 3-2 are fixed, while the electrode pitches of the neighboring comb electrode 3-1 (3-3) are adjusted. However, it may also be possible to structure in a reverse way, so that the electrode pitches of the center comb electrode 3-2 are adjusted, and the electrode pitches of the comb electrode 3-1 (3-3) are fixed.

[0058] Now, as a form of the surface acoustic wave filter, there has been a known method of connecting a surface acoustic wave (SAW) resonator in series to a multimode filter, thereby improving the attenuation characteristic.

[0059] FIG. 11 shows an exemplary structure of the surface acoustic wave filter, in which the SAW resonator

is connected in series to the multimode filter to obtain a wider bandwidth characteristic with reduced insertion loss in the overall filter.

[0060] The structure shown in FIG. 11 (A) includes a SAW resonator and electrodes constituting a multimode filter 20 on piezoelectric substrate 1, which are connected in series. In the example shown in FIG. 11 (A), the SAW resonator 10 side constitutes an unbalanced input IN, and an unbalanced output is obtained from multimode filter 20. Here, it may also be possible to reverse the above-mentioned input and output relation.

[0061] In contrast to the structure shown in FIG. 11 (A), a structure shown in FIG. 11 (B) additionally includes another SAW resonator 11 connected in parallel with SAW resonator 10. With this structure, it is possible to obtain a more preferable filter characteristic.

[0062] In FIG. 12, in contrast to the filter structures shown in FIGS. 11 (A) and (B), there is shown a still another structure additionally having a multimode filter 21 connected in parallel with multimode filter 20, though piezoelectric substrate 1 is not shown. In this structure shown in FIG. 12, it is possible to obtain balanced input or balanced output, which is advantageous in applying to a circuit requiring unbalance-to-balance conversion.

[0063] In the surface acoustic wave filter structured of SAW resonator 10 and multimode filters 20, 21 connected in series shown in FIGS. 11, 12, the present invention is applicable to these multimode filters 20, 21 each having the plurality of comb electrodes (three comb electrodes in FIGS. 11, 12).

[0064] FIGS. 13, 14 shows diagrams illustrating the characteristic (solid line A) of the surface acoustic wave filter having the structure shown in FIG. 12 (B), in which the present invention is applied to the comb electrodes in each multimode filter 20, 21 to obtain a continuous surface acoustic wave phase between the comb electrode and the reflective electrode, together with diagrams illustrating, for comparison purpose, the characteristic (broken line B) of the conventional surface acoustic wave filter also having the structure shown in FIG. 12 (B), whereas the present invention is not applied in the conventional surface acoustic wave filter, and accordingly discontinuity is produced in the surface acoustic wave phases between the comb electrodes and the reflective electrodes.

[0065] In FIGS. 13, 14, the horizontal axis shows normalized frequency, while the vertical axis shows attenuation. FIG. 14 is an enlarged diagram illustrating the passband region in the characteristic diagram shown in FIG. 13.

[0066] The surface acoustic wave filter in accordance with the present invention having the characteristic shown by the solid line A has the dimensions described below.

[0067] In the structure shown in FIG. 12 (B), multimode filters 20, 21 is structured of;

[0068] Left reflective electrode - three comb electrodes (IDT1-IDT2-IDT1) - right reflective electrode,

which are placed in a row.

[0069] The numbers of comb electrode pairs of the left reflective electrode and the right reflective electrode are (52), respectively, and further, the numbers of the comb electrode pairs of the three comb electrodes are [(13 - 2 - 1.5) - (2 - 13.5 - 2) - (1.5 - 2 - 13)].

[0070] The electrode pitch of the left reflective electrode is 2.07  $\mu\text{m}$ , and the electrode pitch of the right reflective electrode is 2.06  $\mu\text{m}$ , and the electrode pitches of the three comb electrodes are (2.065 - 1.995 - 1.855) - (1.920 - 2.040 - 1.92) - (1.855 - 1.995 - 2.065)  $\mu\text{m}$ .

[0071] Also, the aperture length is 61  $\mu\text{m}$ .

[0072] Further, the structure of SAW resonator 10 connected in series is (reflective electrode - IDT - reflective electrode), and the numbers of the electrode pairs are 50 - 105 - 50 pairs.

[0073] The electrode pitches are 2.007 - 2.007 - 2.007  $\mu\text{m}$ , and the aperture length is 43  $\mu\text{m}$ .

[0074] The structure of SAW resonator 11 connected in parallel is (reflective electrode - IDT - reflective electrode), and the numbers of the electrode pairs are 50 - 67 - 50 pairs.

[0075] The electrode pitches are 2.067 - 2.087 - 2.067  $\mu\text{m}$ , and the aperture length is 55  $\mu\text{m}$ .

[0076] Meanwhile, the conventional surface acoustic wave filter having the characteristic shown by the broken line B has the following dimensions:

[0077] In the structure shown in FIG. 12 (B), the number of electrode pairs and the electrode pitches of multimode filters 20, 21 are different from the those of the surface acoustic wave filter, in which the present invention is applied, having the characteristic shown by the solid line A.

[0078] In multimode filters 20, 21, the number of electrode pairs of the left reflective electrodes and the number of electrode pairs of the right reflective electrodes are (60), (40), respectively, and the numbers of the electrode pairs of the three comb electrodes are [(10.5) - (14.5) - (10.5)].

[0079] The electrode pitch of the left reflective electrode is 2.05  $\mu\text{m}$ , and the electrode pitch of the right reflective electrode is 2.05  $\mu\text{m}$ , and the electrode pitches of the three comb electrodes are 2.05 - 2.01 - 2.05  $\mu\text{m}$ , and the aperture length is 60  $\mu\text{m}$ .

[0080] Here, in the comparison of the characteristics shown by the solid line A and the broken line B in FIGS. 13, 14, the design values of the conventional surface acoustic wave filter having the characteristic shown by the broken line B is determined based on a premise of having a predetermined bandwidth. Further, the design values of the surface acoustic wave filter in which the present invention is applied, having the characteristic shown by the solid line A, is also determined based on a premise of having the same bandwidth as the above-mentioned predetermined bandwidth.

[0081] Accordingly, as described above, the numbers of the comb electrode pairs in multimode filters 20, 21 in the surface acoustic wave filter in which the present

invention is applied, having the characteristic shown by the solid line A, are different from corresponding numbers in the conventional surface acoustic wave filter. It is to be understood that the present invention is applicable to a surface acoustic wave filter constituted of the series connection of a SAW resonator and multimode filters, and that the insertion loss can be reduced without damaging the sharp passband characteristic in this surface acoustic wave filter according to the present invention.

#### INDUSTRIAL APPLICABILITY

[0082] As the embodiments of the present invention have been described, it is possible to provide a surface acoustic wave filter in which both insertion loss and passband width are improved as compared to the conventional structure, by the use of the structure according to the present invention.

[0083] The foregoing description of the embodiments is not intended to limit the invention to the particular details of the examples illustrated, and all features and advantages of the invention which fall within the scope of the invention are covered by the appended claims.

#### Claims

##### 1. A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a pair of reflective electrodes formed on the piezoelectric substrate; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

##### 2. A surface acoustic wave filter comprising:

a piezoelectric substrate; and  
a plurality of unit sets of filters formed on the piezoelectric substrate, each set being connected in cascade,

wherein each plurality of sets of filters includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between

the pair of reflective electrodes on the piezoelectric substrate, and,  
in regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

3. A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a pair of reflective electrodes formed on the piezoelectric substrate; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of the respective comb electrodes are set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap between outermost finger electrodes of the neighboring two comb electrodes.

4. A surface acoustic wave filter comprising:

a piezoelectric substrate; and  
a plurality of unit sets of filters formed on the piezoelectric substrate, each set being connected in cascade,

wherein each plurality of sets of filters includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,  
in regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of the respective comb electrodes are set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap between outermost finger electrodes of the neighboring two comb electrodes.

5. The surface acoustic wave filter according to claim 1 or 2,

wherein one of the two neighboring comb electrodes is divided into a plurality of blocks, and the electrode pitch is set differently for each divided plurality of blocks.

6. The surface acoustic wave filter according to claim 3 or 4,

wherein each of the two neighboring comb electrodes is divided into a plurality of blocks, and the electrode pitch is set differently for each divided plurality of blocks.

7. The surface acoustic wave filter according to claim 1 or 2,

wherein different electrode pitches are set successively in one of the neighboring two comb electrodes.

8. The surface acoustic wave filter according to claim 3 or 4,

wherein different electrode pitches are set successively in each of the neighboring two comb electrodes.

9. The surface acoustic wave filter according to claim 1 or 3,

wherein the neighboring two comb electrodes are a comb electrode for input and a comb electrode for output, respectively.

10. The surface acoustic wave filter according to claim 2 or 4,

wherein, among the plurality of sets of filters connected in cascade, one of the neighboring two comb electrodes disposed in the first stage of the filter set is a comb electrode for input, and one of the neighboring two comb electrodes disposed in the final stage of the filter set is a comb electrode for output.

11. A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a surface acoustic wave resonator formed on the piezoelectric substrate; and  
a multimode filter which is formed on the piezoelectric substrate and connected in series with the surface acoustic wave resonator,

wherein the multimode filter includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,  
in regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.



12. A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a surface acoustic wave resonator formed on the piezoelectric substrate; and  
a first multimode filter and a second multimode filter each formed on the piezoelectric substrate and connected in series with the surface acoustic wave resonator,

wherein each multimode filter includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,  
in regard to the neighboring two comb electrodes among the plurality of comb electrodes, an electrode pitch of one comb electrode is set so that continuously varying phases of surface acoustic waves respectively generated by the two comb electrodes are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

13. The surface acoustic wave filter according to claim 11 or 12,

wherein 'a second surface acoustic wave resonator is additionally connected in parallel with the surface acoustic wave resonator.

14. The surface acoustic wave filter according to claim 12,

wherein the surface acoustic wave resonator is connected to an unbalanced input end or an unbalanced output end, and terminals in both the first multimode filter and the second multimode filter are connected to corresponding balanced output ends or balanced input ends.

**Amended claims under Art. 19.1 PCT**

1. amended) A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a pair of reflective electrodes formed on the piezoelectric substrate; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, one comb electrode is divided into blocks of more than three and electrode pitches are set differently for each of the blocks of more than three,

and

electrode pitches of one block next to the other comb electrode are set so that continuously varying phases of surface acoustic waves respectively generated by comb electrodes of the one block next to the other comb electrode and the other comb electrode are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

2. (amended) A surface acoustic wave filter comprising:

a piezoelectric substrate; and  
a plurality of unit sets of filters formed on the piezoelectric substrate, each set being connected in cascade,

wherein each plurality of sets of filters includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, one comb electrode is divided into blocks of more than three and electrode pitches are set differently for each of the blocks of more than three, and

electrode pitches of one block next to the other comb electrode are set so that continuously varying phases of surface acoustic waves respectively generated by comb electrodes of the one block next to the other comb electrode and the other comb electrode are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

3. A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a pair of reflective electrodes formed on the piezoelectric substrate; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, each of the neighboring two comb electrodes among the plurality of comb electrodes is divided into blocks of more than three, electrode pitches are set differently for each of the blocks of more than three, and electrode pitches of the respective neighboring blocks of the two comb electrodes are set so that continuously varying phases of surface acoustic waves respectively generated

by comb electrodes of respective blocks are obtained.

4. A surface acoustic wave filter comprising:

a piezoelectric substrate; and  
a plurality of unit sets of filters formed on the piezoelectric substrate, each set being connected in cascade,

wherein each plurality of sets of filters includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,

wherein each of the neighboring two comb electrodes among the plurality of comb electrodes is divided into blocks of more than three, electrode pitches are set differently for each of the blocks of more than three, and electrode pitches of the respective neighboring blocks of the two comb electrodes are set so that continuously varying phases of surface acoustic waves respectively generated by comb electrodes of respective blocks are obtained.

5. (cancelled)

6. (cancelled)

7. (amended) A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a pair of reflective electrodes formed on the piezoelectric substrate; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of one comb electrode are set as successively varied, and phases of surface acoustic waves respectively generated by the two comb electrodes are set as continuously varied in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

8. (amended) A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a pair of reflective electrodes formed on the piezoelectric substrate; and

a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of each of the two comb electrodes are set as successively varied, and phases of surface acoustic waves respectively generated by the two comb electrodes are set as continuously varied in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

9. The surface acoustic wave filter according to claim 1 or 3,

wherein the neighboring two comb electrodes are a comb electrode for input and a comb electrode for output, respectively.

10. The surface acoustic wave filter according to claim 2 or 4,

wherein, among the plurality of sets of filters connected in cascade, one of the neighboring two comb electrodes disposed in the first stage of the filter set is a comb electrode for input, and one of the neighboring two comb electrodes disposed in the final stage of the filter set is a comb electrode for output.

11. A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a surface acoustic wave resonator formed on the piezoelectric substrate; and  
a multimode filter which is formed on the piezoelectric substrate and connected in series with the surface acoustic wave resonator,

wherein the multimode filter includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, one comb electrode is divided into blocks of more than three and electrode pitches are set differently for each of the blocks of more than three, and

electrode pitches of one block next to the other comb electrode are set so that continuously varying phases of surface acoustic waves respectively generated by comb electrodes of the one block next to the other comb electrode and the other comb electrode are obtained in a gap opposite to an outermost finger electrode of the other neighboring

comb electrode.

12. (amended) A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a surface acoustic wave resonator formed on the piezoelectric substrate; and  
a first multimode filter and a second multimode filter each formed on the piezoelectric substrate and connected in series with the surface acoustic wave resonator,

wherein each of the first and second multimode filters includes:

a pair of reflective electrodes; and  
a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, one comb electrode is divided into blocks of more than three and electrode pitches are set differently for each of the blocks of more than three, and

electrode pitches of one block next to the other comb electrode are set so that continuously varying phases of surface acoustic waves respectively generated by comb electrodes of the one block next to the other comb electrode and the other comb electrode are obtained in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

13. The surface acoustic wave filter according to claim 11 or 12,

wherein a second surface acoustic wave resonator is additionally connected in parallel with the surface acoustic wave resonator.

14. The surface acoustic wave filter according to claim 12,

wherein the surface acoustic wave resonator is connected to an unbalanced input end or an unbalanced output end, and terminals in both the first multimode filter and the second multimode filter are connected to corresponding balanced output ends or balanced input ends.

15. (added) A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a plurality of filters formed on the piezoelectric substrate and connected in cascade,

wherein each of the plurality of filters includes,

a pair of reflective electrodes formed on the piezoelectric substrate; and

a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate,

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of one comb electrode are set as successively varied, and phases of surface acoustic waves respectively generated by the two comb electrodes are set as continuously varied in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

16. added) A surface acoustic wave filter comprising:

a piezoelectric substrate;  
a plurality of filters formed on the piezoelectric substrate and connected in cascade,

wherein each of the plurality of filters includes,  
a pair of reflective electrodes formed on the piezoelectric substrate; and

a plurality of comb electrodes formed between the pair of reflective electrodes on the piezoelectric substrate, and

wherein, in regard to the neighboring two comb electrodes among the plurality of comb electrodes, electrode pitches of each of the two comb electrode are set as successively varied, and phases of surface acoustic waves respectively generated by the two comb electrodes are set as continuously varied in a gap opposite to an outermost finger electrode of the other neighboring comb electrode.

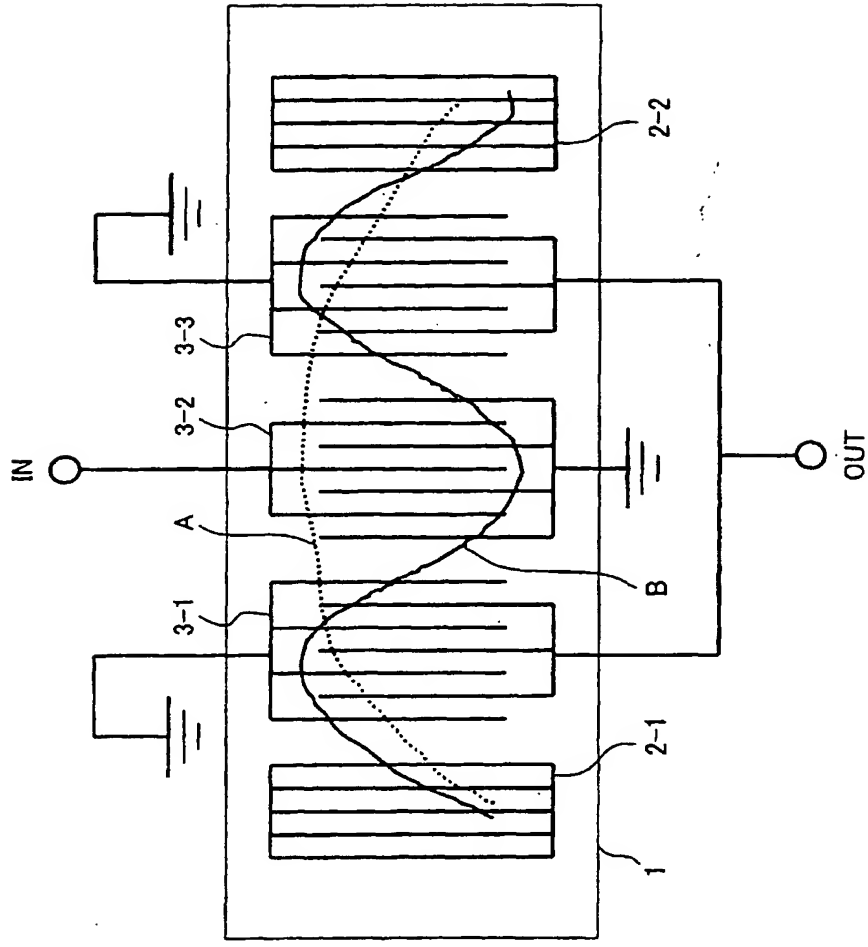
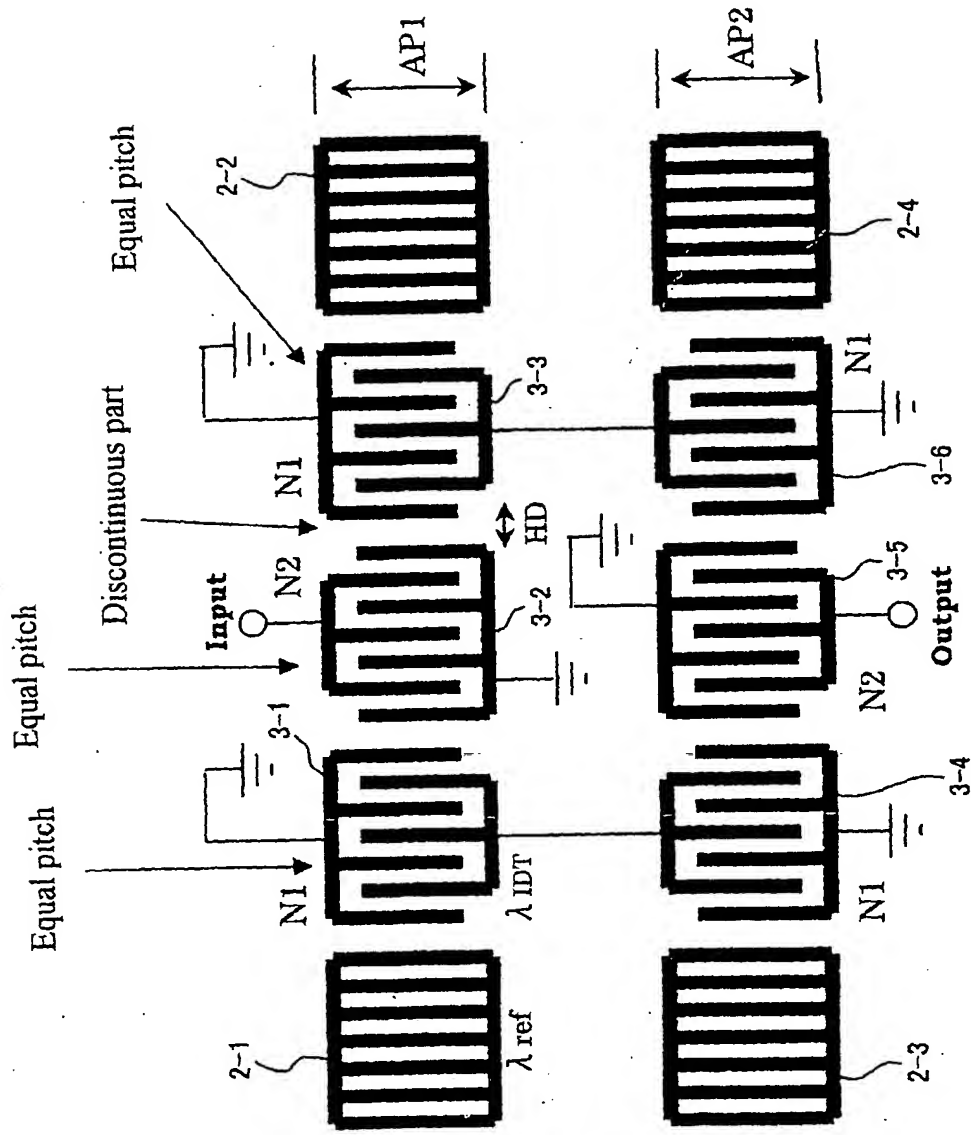


Fig. 1

Fig. 2



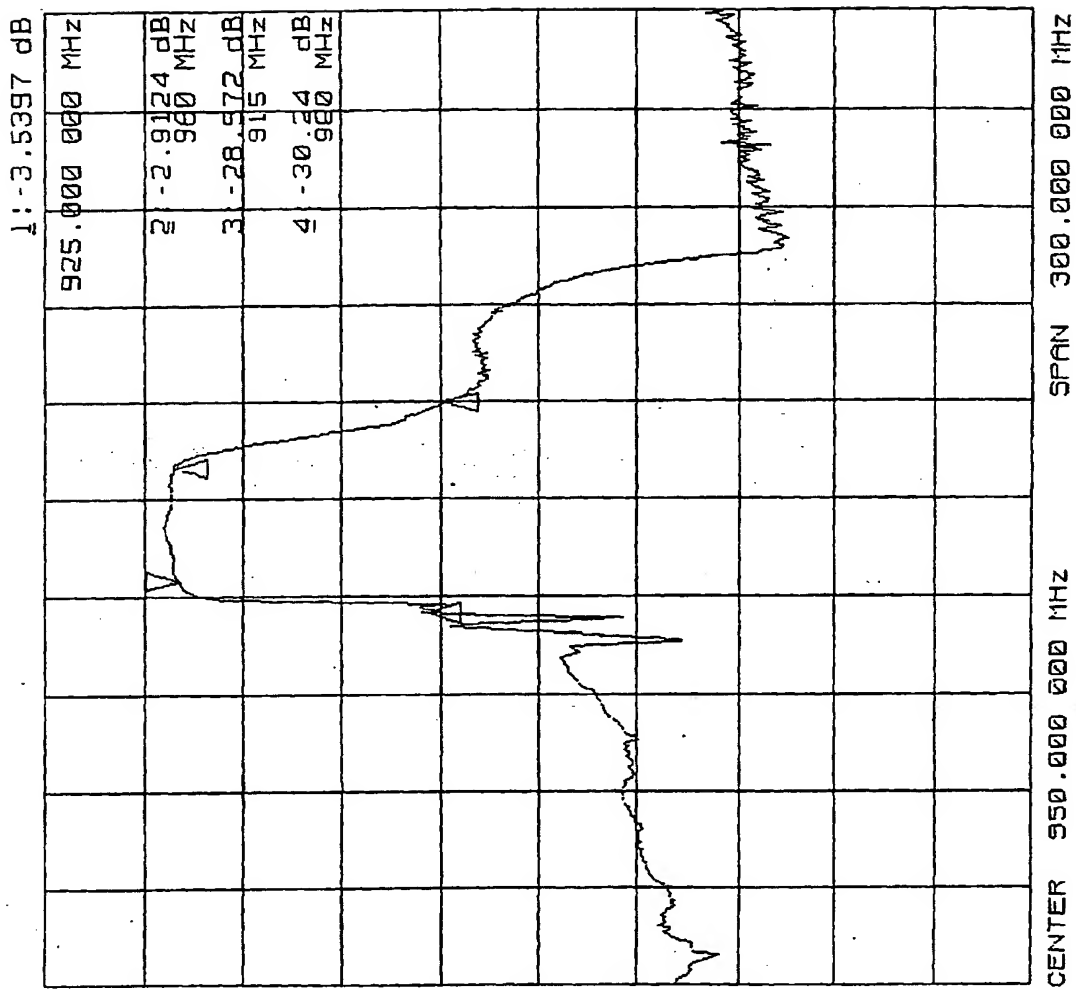


Fig. 4

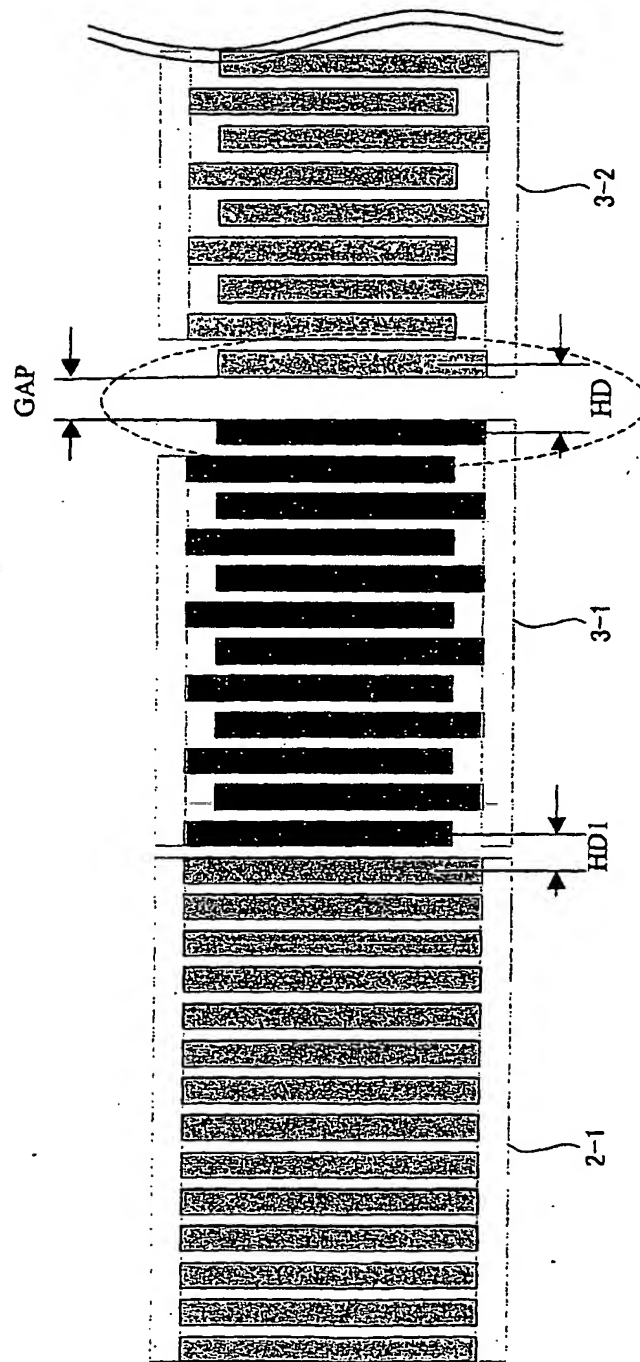


Fig. 5

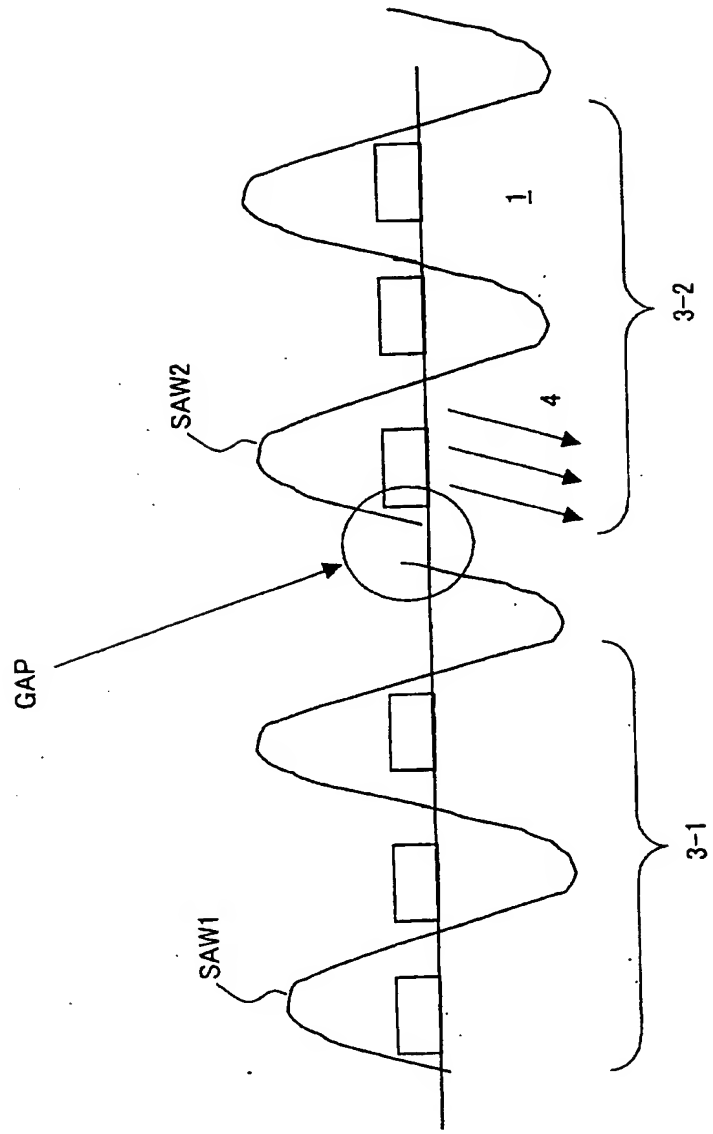




Fig. 6

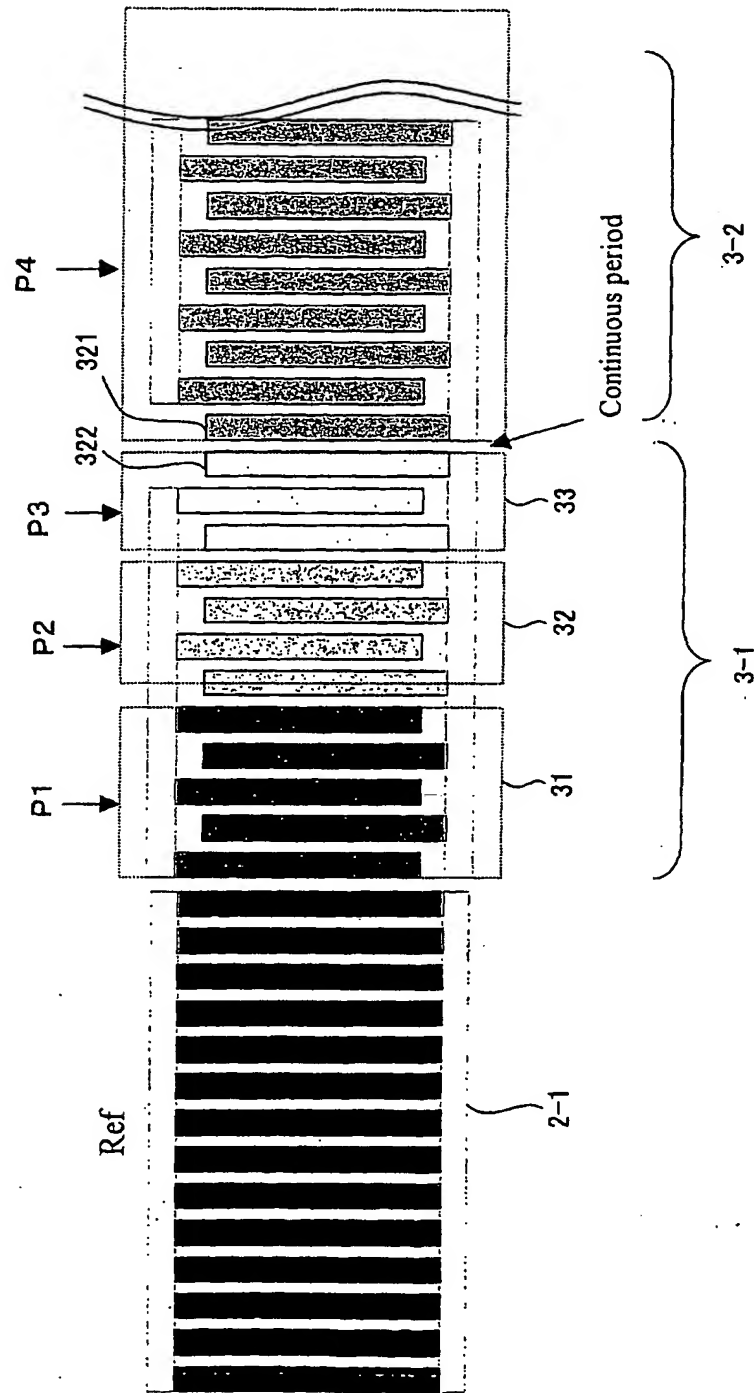


Fig. 7 (A)

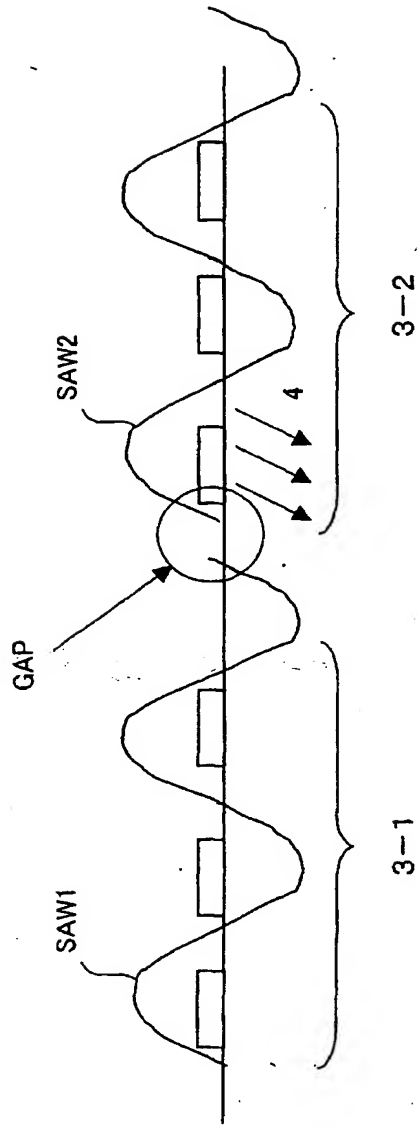


Fig. 7 (B)

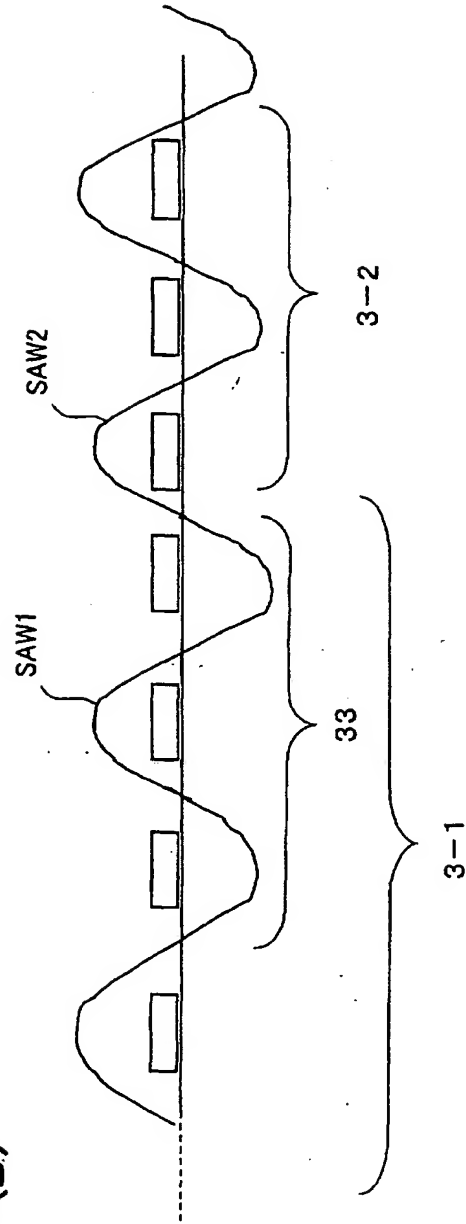


Fig. 8

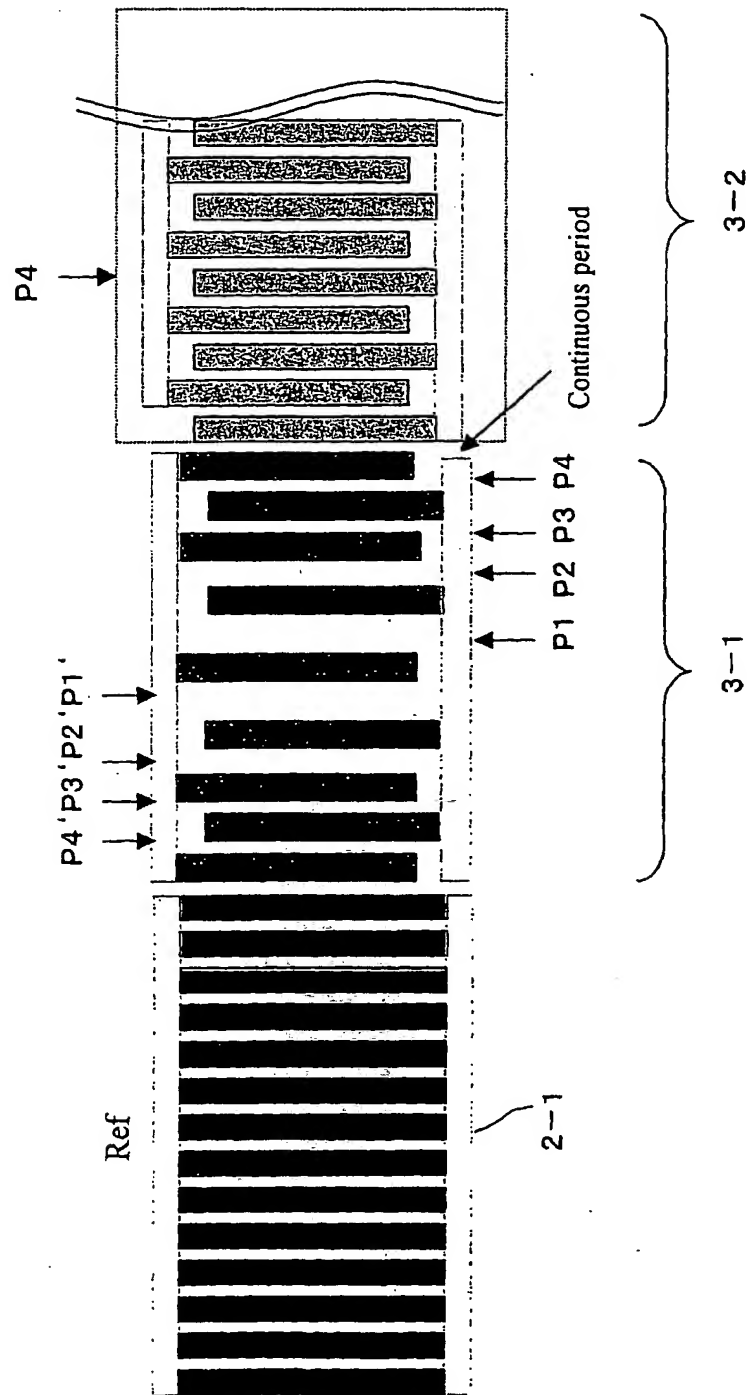


Fig. 9

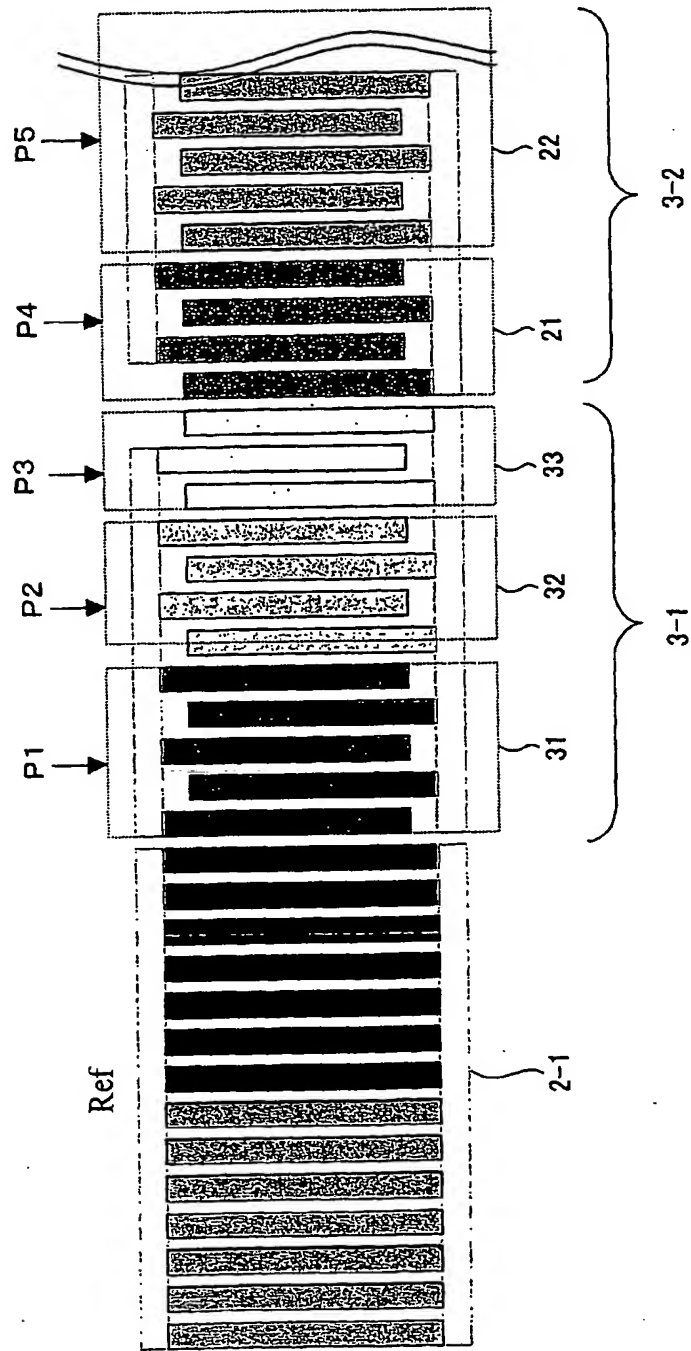
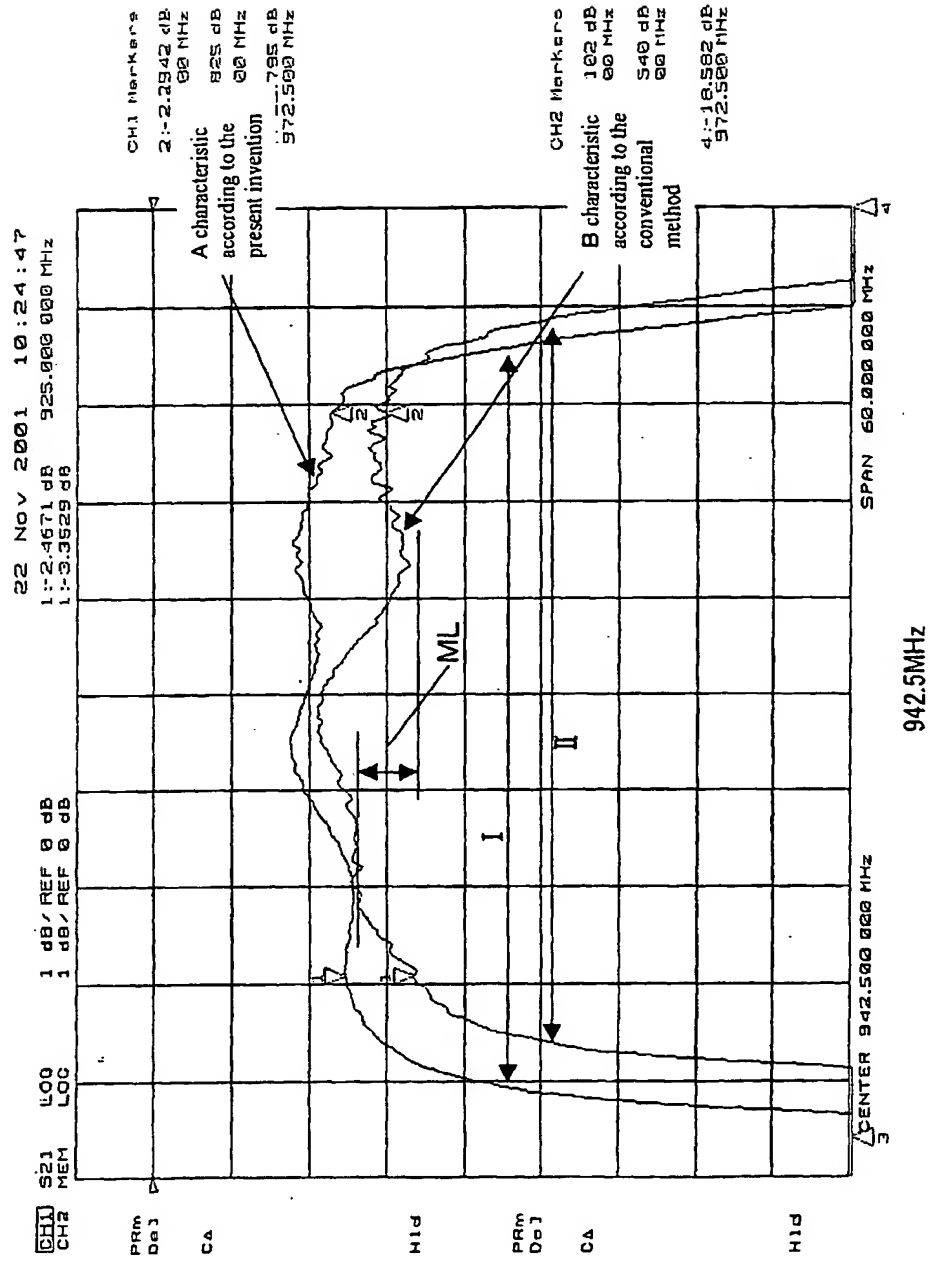


Fig. 10



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Fig. 11(B)

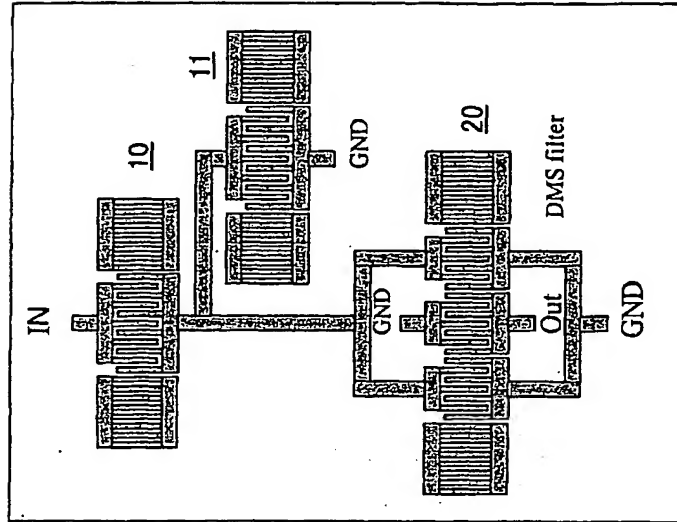


Fig. 11(A)

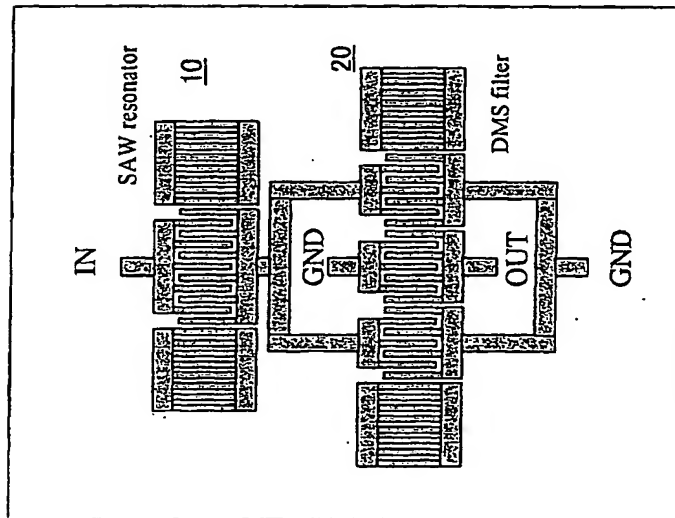


Fig. 12 (A)

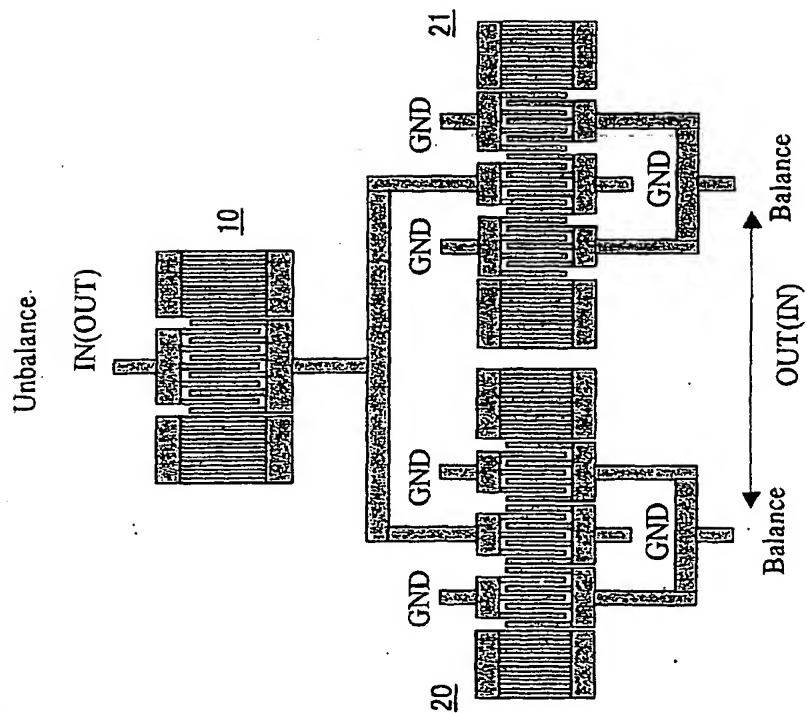
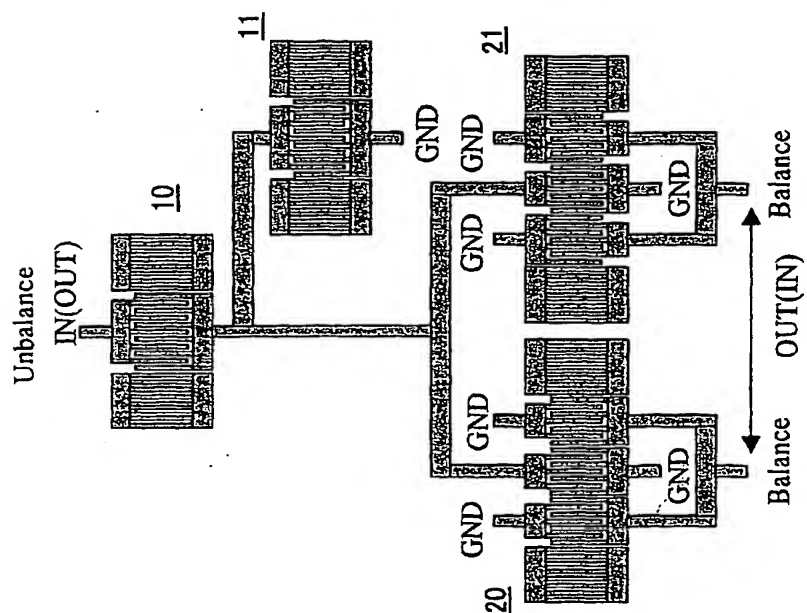


Fig. 12 (B)



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Fig. 13

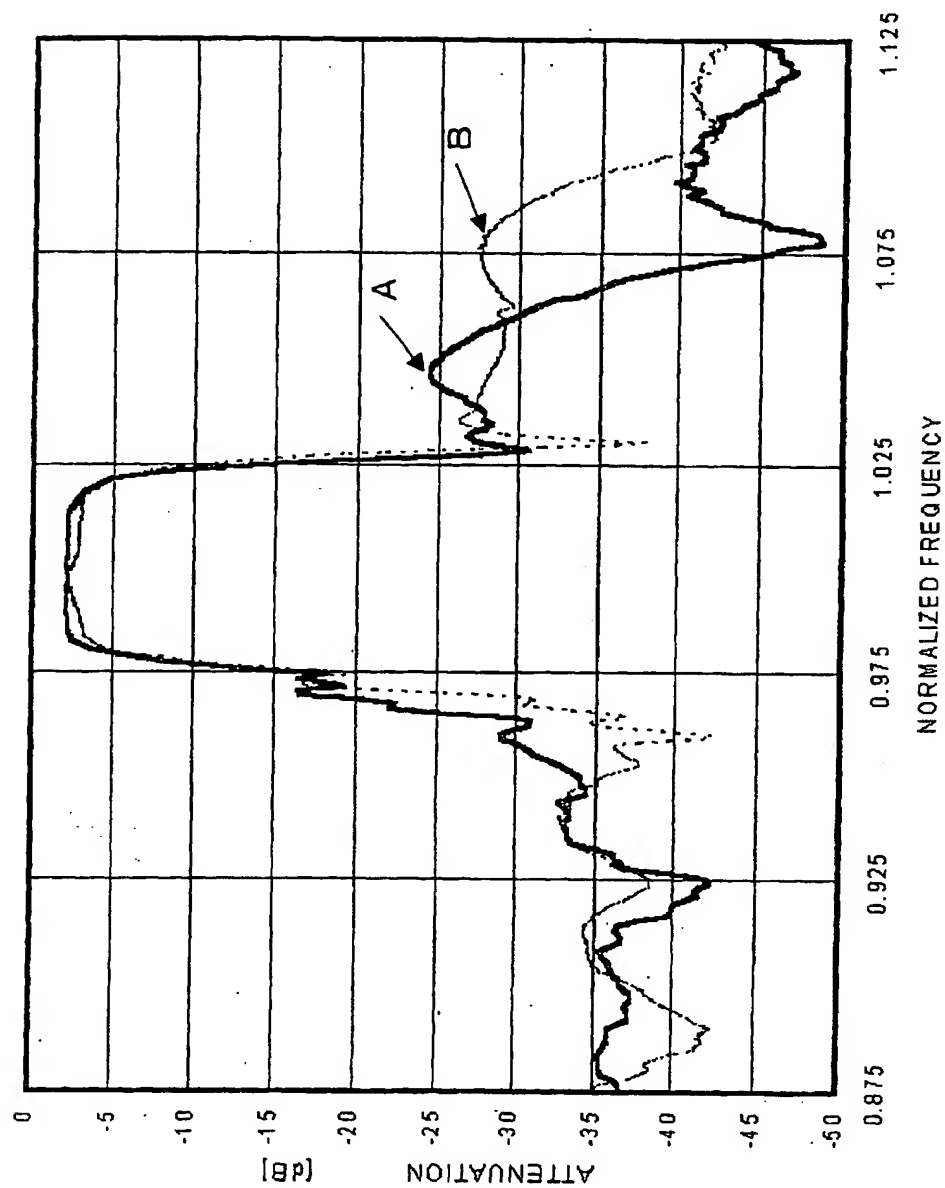
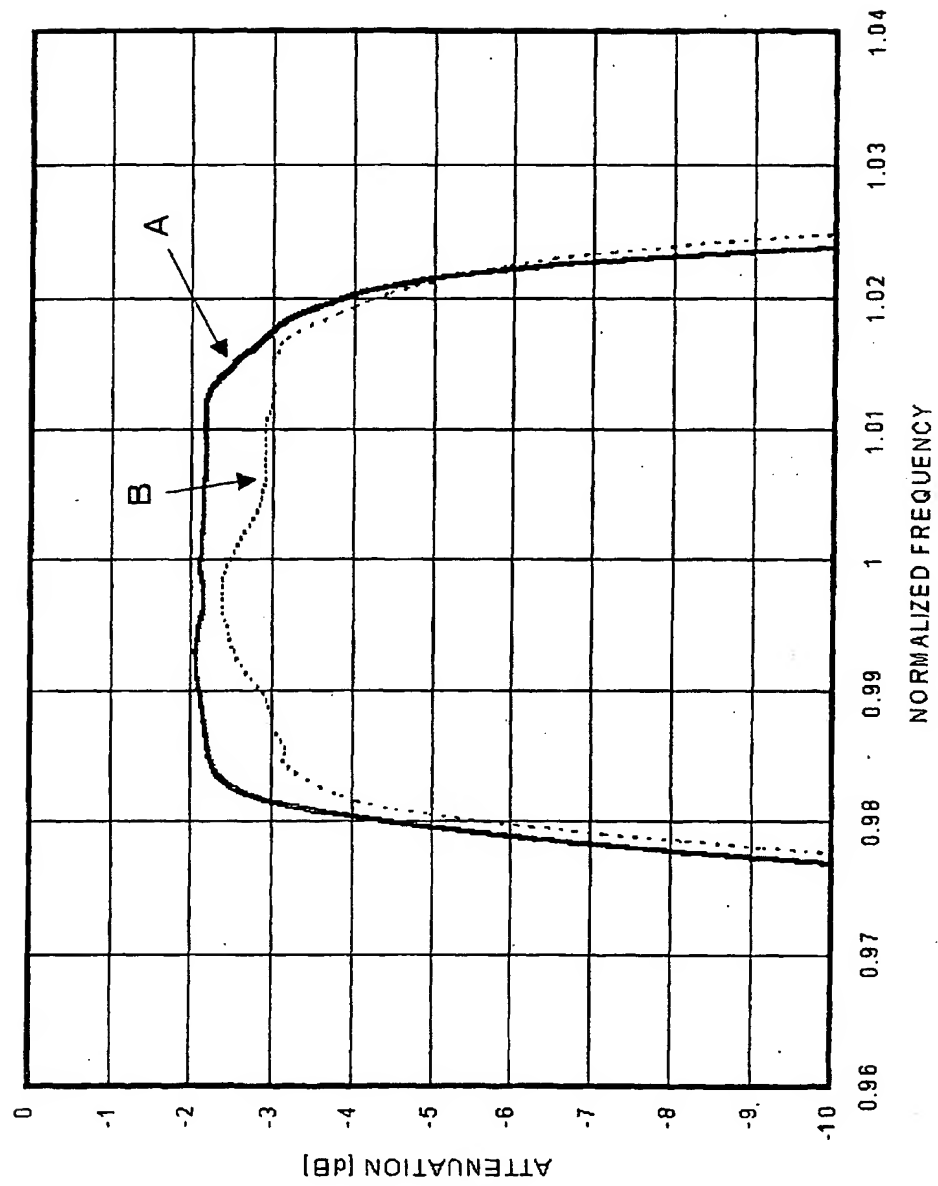




Fig. 14



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP02/09039

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> Int.Cl <sup>7</sup> H03H9/145, H03H9/64  According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) Int.Cl <sup>7</sup> H03H9/145, H03H9/64  Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Jitsuyo Shinan Koho 1922-1996 Toroku Jitsuyo Shinan Koho 1994-2002 Kokai Jitsuyo Shinan Koho 1971-2002 Jitsuyo Shinan Toroku Koho 1996-2002  Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X X	EP 1152529 A2 (Murata Manufacturing Co., Ltd.), 07 November, 2001 (07.11.01), Par. No. [0146] & US 2002/0017969 A1 & JP 2002-009588 A & CN 1325184 A & KR 2001098702 A	1-12, 14 13
X	JP 64-19815 A (Toyo Communication Equipment Co., Ltd.), 23 January, 1989 (23.01.89), (Family: none)	1, 3, 5-9
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 20 November, 2002 (20.11.02)		Date of mailing of the international search report 03 December, 2002 (03.12.02)
Name and mailing address of the ISA/ Japanese Patent Office		Authorized officer
Facsimile No.		Telephone No.

Form PCT/ISA/210 (second sheet) (July 1998)